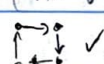


- Kuratowski's pairs: $(a, b) := \{\{a, b\}, \{a\}\}$ (encoding ordered pairs w/ sets)
- Ordered triples: $(a, b, c) := (a, (b, c))$
- def: a function is a set f st. every element of f is an ordered pair and if $(a, b), (a, b') \in f$, then $b = b'$
- von Neumann numbers: $0 = \emptyset$, $1 = \{0\} = \{\emptyset\}$, $2 = \{0, 1\} = \{\emptyset, \{\emptyset\}\}$, ..., $n+1 = n \cup \{n\}$
- def: A universe of set theory is a collection $\mathcal{U}$ of objects together w/ a binary relation $\in$ (i.e. it's a directed graph). Members of $\mathcal{U}$ (vertices of graph) are called sets
- def: a free variable is a variable that doesn't appear after a quantifier in a formula
- def: replacing some free vars w/ members of $\mathcal{U}$, we get a formula w/ parameters
- def: a sentence is a formula with no free variables, is either true or false
 - if ϕ is a true sentence in $\mathcal{U}$, we write $\mathcal{U} \models \phi$

- ①
- Axiom of Extensionality (Ext): sets w/ same elts. are equal $(\forall x \forall y ((x \subseteq y \wedge y \subseteq x) \rightarrow x = y))$
 - $x \subseteq y: \forall z (z \in x \rightarrow z \in y)$    ✓
 - def: a class is a different name for a formula w/ one free variable (and possibly w/ params). Given a formula $\phi(x)$, the corresponding class is $\mathcal{C} = \{x: \phi(x)\}$ ← this is not a set
 - ex: $\mathcal{U} = \{x: x = x\}$ - the class of all sets
 - $\emptyset = \{x: x \neq x\}$ - the empty class
 - $\{x: \exists y \forall z (z \in x \Leftrightarrow z = y)\}$ - the class of all 1-element sets
 - $\{x: \exists a \exists b (x = (a, b))\}$ - the class of all ordered pairs
 - def: a class $\mathcal{C}$ is (represented by) a set if there is a set a in $\mathcal{U}$ st. $\mathcal{C} = \{x: x \in a\}$
 - Note: by Ext, such an a must be unique. If there is no set, $\mathcal{C}$ is a proper class
 - Russell's paradox: $\mathcal{R} = \{x: x \notin x\}$ - this is a proper class
- ②
- Empty Set Axiom (Empty): the empty class $\emptyset = \{x: x \neq x\}$ is a set
 - $\exists x \forall y (y \notin x)$
- ③
- Pairing Axiom (Pair): for any a, b in $\mathcal{U}$, the class $\{a, b\} := \{x: x = a \vee x = b\}$ is a set
 - $\forall a \forall b \exists x \forall y (y \in x \Leftrightarrow (y = a \vee y = b))$
 - $\{a, a\} = \{a\} = \{x: x \in a\}$ is a set by Pair. $\exists y (y \in a \wedge x \in y)$
- ④
- Axiom of Union (Union): for any S in $\mathcal{U}$, the class $\cup S = \{x: \exists y \in S (x \in y)\}$ is a set
 - $\exists z \forall x \forall y (x \in y \wedge y \in S \rightarrow x \in z)$
 - If A, B are sets in $\mathcal{U}$, $\cup \{A, B\} = A \cup B$ is a set.

- ⑤ • Power set Axiom (Pow): for all sets a , the class $P(a) = \{x : x \subseteq a\}$ is a set
- ⑥ • Axiom Schema of Comprehension (Comp): If C is a class and $C \subseteq a$ for some set a , then C is a set ("small" classes are sets)
- ex. $X \times Y = \{(x, y) : x \in X, y \in Y\} = \{z : \exists x \exists y (x \in X \wedge y \in Y \wedge z = (x, y))\}$ is a set since $(x, y) = \{\{x\}, \{x, y\}\} \in P(P(X \cup Y))$, so $X \times Y \subseteq P(P(X \cup Y))$
- def: a (binary) relation is a set of binary pairs. for a relation R , let $\text{dom}(R) := \{x : \exists y ((x, y) \in R)\}$. $\text{dom}(R)$ is a set since $\text{dom}(R) \subseteq \bigcup R$. $\text{ran}(R) := \{y : \exists x ((x, y) \in R)\}$ is also a set since $\text{ran}(R) \subseteq \bigcup R$.
- the image of a set A under R is $R[A] := \{y : \exists x \in A ((x, y) \in R)\}$ is a set
- def: a function is a relation f st for every $x \in U$, there is at most one y w/ $(x, y) \in f$
- $\forall x \forall y \forall z ((x, y) \in f \wedge (x, z) \in f) \rightarrow y = z$
 - given sets X, Y , we say f is a function from X to Y ($f: X \rightarrow Y$) if $\text{dom}(f) = X$, $\text{ran}(f) \subseteq Y$
 - the class of all functions from X to Y is denoted ${}^X Y$
 - if X, Y are sets, then ${}^X Y$ is a set

- def: a set X is inductive if $\emptyset \in X$ and $\forall x \in X, x \cup \{x\} \in X$
- ⑦ • Axiom of Infinity (Inf): There exists an inductive set.
- thm: There exists an inclusion-minimal, unique, inductive set ω
- for any inductive set X , $\omega \subseteq X$

Pf: Uniqueness holds by Ext. Consider the class $\omega = \{x : x \in X \text{ for any inductive set } X\}$.

By Inf, $\exists$ an inductive set X and by Comp, $\omega \subseteq X$ is a set.

By def, $\emptyset \in \omega$ as $\emptyset$ is in all inductive sets. Let $y \in \omega$, then y is in every inductive set, hence $y \cup \{y\}$ is in every ind. set, so $y \cup \{y\} \in \omega$. $\square$

- def: the elements of ω are called "natural numbers" ($\text{in } \mathcal{U}$)
- * to prove things about natural numbers, we must use the fact that ω /natural numbers are the inclusion minimal set.

• ex: for all $n \in \omega$, either $n = 0$ or $0 \in n$.

Pf: let X be the set of natural numbers n st $n = 0$ or $0 \in n$. Obviously, $X \subseteq \omega$. We WTS X is inductive, so that $\omega \subseteq X$. Note $\emptyset = 0 \in X$. Next, let $n \in X$. If $n = 0$, then $\emptyset \cup \{0\} = \{0\} \ni 0$ so $\{0\} \in X$. If instead $0 \in n$, then $n \cup \{n\} \ni n \ni 0$, so $n \cup \{n\} \in X$, hence X is inductive. $\square$

def: a class function Φ is a formula w/ 2 free vars (possibly w/ parameters) $\varphi(x,y)$, st.
 $\forall x \forall y \forall z ((\varphi(x,y) \wedge \varphi(x,z)) \rightarrow y=z)$

* for $x \in \text{dom}(\Phi)$, $\Phi(x)$ denotes the unique $y \in \text{ran}(\Phi)$ st. $\varphi(x,y)$.

examples: * $x \mapsto x$ (id), given by formula $x=y$

* $x \mapsto \{x\}$ has formula $\forall z (z=y \leftrightarrow z=x)$

* $x \mapsto \mathcal{P}(x)$ (formula is exercise)

def: for a class C and class fn. Φ , C 's image by Φ is the class $\Phi[C] = \{\Phi(x) : x \in C \cap \text{dom}(\Phi)\}$

⑧ Axiom Schema of Replacement (Rep): The image of a set under a class fn. is a set.

def: let $V_0 = \emptyset$, $V_1 = \mathcal{P}(V_0)$, ..., $V_{n+1} = \mathcal{P}(V_n)$, $V_\omega = \bigcup \{V_n : n \in \omega\}$

If we can show $n \mapsto V_n$ is a class fn (hard part), then by Rep, $\{V_n : n \in \omega\}$ is a set, then V_ω is a set by Union.

Dedekind's Formulation of Recursion: $y = V_n \leftrightarrow \exists W. \exists W_1, \dots, \exists W_n \wedge$
 $(\forall i < n (W_i = \mathcal{P}(W_{i+1}))) \wedge W_n = y$

the class fn for $n \mapsto V_n$: we want a formula that says " $x \in W$ and $y = V_x$ ", this is:

$x \in W \wedge \exists W$ st. 1) W is a fn, $\text{dom}(W) = x+1 = x \cup \{x\} = \{0, 1, 2, \dots, x\}$

2) $W(0) = \emptyset$ 3) $\forall i < x (= i \in x)$, $W(i+1) = \mathcal{P}(W(i))$ 4) $W(x) = y$

→ how to write? 1) $\exists y (x \in y \wedge y = W)$, y is the inclusion-min inclusive set

2) $\forall y (y \text{ is inductive} \rightarrow x \in y)$ 3) treat $x \in W$ as a formula w/ param. (W is param)

Prop: there is no injective class fn from a proper class to a set.

Pf: Suppose $\Phi: C \rightarrow X$ is injective class fn from a class C to set X .

$\text{ran}(\Phi) \subseteq X$ is a set by Comp. Then $\Phi^{-1}: \text{ran}(\Phi) \rightarrow C$ is a class fn

(by injectivity), so $\Phi^{-1}(\text{ran}(\Phi)) = C$ is a set by Rep. $\square$

example of proper classes: $R := \{x : x \in x\}$ (Russell), $\mathcal{U}$ (if not, $R \subseteq \mathcal{U}$ would be a set by Comp)

def: let C be a class, $\prec$ a class relation on C , i.e. a formula $\varphi(x,y)$ (w/ params), st.

$\forall x \forall y (\varphi(x,y) \rightarrow x, y \in C)$. We write $x \prec y$ to mean $\varphi(x,y)$

exercise: If S is a set and $\prec$ a class relation on S , then it is a set relation, i.e., $\exists R \subseteq S \times S$ set st. $x \prec y \Leftrightarrow (x,y) \in R$.

def: $\prec$ has strict partial order if it is

(P1): irreflexive: $\forall x \neg (x \prec x)$ (P2): transitive: $\forall x \forall y \forall z (x \prec y \wedge y \prec z \rightarrow x \prec z)$

• def: a partial order is total/linear if $\forall x, y \in \mathcal{C}, x \leq y \vee x = y \vee x \not\leq y$

• def: a linear ordering on a class $\mathcal{C}$ is a well ordering, if:

(W1): every nonempty subset $S \subseteq \mathcal{C}$ has a $\leftarrow$ -least element, i.e.,
 $\exists x \in S \forall y \in S (x \leq y)$

(W2): for each $x \in \mathcal{C}$, initial segment $S_x(\mathcal{C}) := \{y \in \mathcal{C} : y \prec x\}$ is a set.

▷ If $\mathcal{C}$ is a set, W2 holds automatically by Comp.

• Prop: If $\prec$ is a well ordering on a class $\mathcal{C}$, then every nonempty subclass $\mathcal{D} \subseteq \mathcal{C}$ has a $\prec$ -least element

Pf: let $x \in \mathcal{D}$. Then $S := \mathcal{D} \cap S_x(\mathcal{C})$ is a set by (W2) and Comp.

If $S = \emptyset$, then x is the $\prec$ -least elt of $\mathcal{D}$. Else, by (W1),

there is a $\prec$ -least elt of S , which is also $\prec$ -least elt of $\mathcal{D}$. $\square$

• def: a set A is transitive if every elt of A is a subset of A :

▷ $\forall x (x \in A \rightarrow x \subseteq A)$ or $\forall x \forall y (y \in x \in A \rightarrow y \in A)$

• def: an ordinal is a set α st.

(O1): α is transitive (O2): α is well ordered by $\in$

▷ the class of all ordinals is denoted Ord.

• exercise: Ord is a class

• note: $\emptyset \in \text{Ord}$.

• note: if $\alpha \in \text{Ord}$, then $\alpha \not\in \alpha$ (else $\in$ is not irreflexive)

• def: let $\alpha \in \text{Ord}$. The successor of α is $\alpha+1 := \alpha \cup \{\alpha\}$.

• Prop: $\alpha \in \text{Ord} \Rightarrow \alpha+1 \in \text{Ord}$

Pf: (O1): let $\beta \in \alpha+1$. If $\beta \in \alpha$, then $\beta \subseteq \alpha \subseteq \alpha+1$. Else $\beta = \alpha \subseteq \alpha+1$. So $\alpha+1$ transitive.

(O2): take any nonempty subset $S \subseteq \alpha+1$. If $S \cap \alpha \neq \emptyset$, then the $\in$ -least elt of $S \cap \alpha$ is also a $\in$ -least elt of S . Else, $S = \{\alpha\}$, and α is the $\in$ -least elt (and only elt) of S . $\square$

• Corollary: $\omega \in \text{Ord}$

Pf: The set $\omega \cap \text{Ord}$ is inductive (has $\emptyset$ and closed under successor).

As ω is a minimum inductive set, $\omega = \omega \cap \text{Ord}$. $\square$

• exercise: ω is an ordinal.

• note: every elt of an ordinal is an ordinal

- then the class Ord is well-ordered by $\in$.
- ex: an ordinal w/ one elt? $\alpha = \{x\}$
by transitivity, $x \in \{x\}$ so $x = \emptyset$ or $x = \{x\}$, second not possible as $x \in x$ breaks transitivity. so $x = \emptyset$, $\alpha = \{\emptyset\} = 1$.
- ex: an ordinal w/ two elts? $\alpha = \{x, y\}$, $x \neq y$
 $\in$ is a strict linear order, so WLOG, $x \in y$ ($x \notin x$, $y \notin y$). Also $y \notin x$.
By transitivity, $x \in \alpha = \{x, y\} \Rightarrow x = \emptyset$. But then $y \in \alpha = \{\emptyset, y\} \Rightarrow y = \{\emptyset\}$.
so $\alpha = \{\emptyset, \{\emptyset\}\} = 2$.

• Lemma: $\in$ is a strict partial order on Ord.

PF: Irreflexive: If $\alpha \in \text{Ord}$, $\alpha \notin \alpha$. $\checkmark$

Transitivity: Sp. α, β, γ are ordinals s.t. $\alpha \in \beta \in \gamma$. As γ is a transitive set, $\alpha \in \gamma$. $\checkmark$

* by partial order, we can write $\beta < \alpha$ to mean $\beta \in \alpha$.

* so $\alpha = \{\beta \in \text{Ord} : \beta < \alpha\}$ *

• def: let $\mathcal{C}$ be a class w/ strict partial order $<$. A subclass $\mathcal{D}$ is downwards-closed if $\forall x \in \mathcal{D} \forall y \in \mathcal{C} (y < x \Rightarrow y \in \mathcal{D})$.

• Lemma: If $\alpha \in \text{Ord}$ and $D \subseteq \alpha$ is downwards-closed, then either $D \in \alpha$ or $D = \alpha$.
In particular, D is an ordinal.

PF: If $D = \alpha$, we're done, so assume $D \neq \alpha$, that is, $\alpha - D \neq \emptyset$. Let β be the least elt of $\alpha - D$, which exists by well ordering of α .

D is downwards closed $\Rightarrow \forall \gamma \in D (\beta > \gamma)$. Thus $D \subseteq \beta$. Alternatively, if $\gamma \in \beta$, i.e. $\gamma < \beta$, then $\gamma \in D$ by minimality of β . Thus $\beta \in D$, i.e. $D = \beta \in \alpha$. $\square$

• conv: downward closed sets of ordinals are ordinals.

• Lemma: If $\alpha, \beta \in \text{Ord}$, then $\alpha < \beta$, $\alpha = \beta$ or $\alpha > \beta$.

PF: let $\gamma = \alpha \cap \beta$. Then γ is a downward-closed subset of both α and β .

Case 1: $\gamma = \alpha$, $\gamma = \beta$. then $\gamma = \alpha = \beta$, done

Case 2: $\gamma = \alpha$, $\gamma \in \beta$. then $\alpha \in \beta \Rightarrow \alpha < \beta$, done

Case 3: $\gamma \in \beta$, $\gamma = \alpha$. then $\beta < \alpha$, done

Case 4: $\gamma \in \alpha$, $\gamma \in \beta$, but this is not possible as then $\gamma \in \alpha \cap \beta = \gamma$. $\square$

def: the von Neumann Universe is the class $V := \bigcup \{V_\alpha : \alpha \in \text{Ord}\} = \{x : \exists \alpha (\alpha \in \text{Ord} \wedge x \in V_\alpha)\}$

Axiom of Foundation (or Regularity) (AF): $\mathcal{U} = V$

$\forall x \exists \alpha (\alpha \in \text{Ord} \wedge x \in V_\alpha)$

def: $\mathcal{ZF}^- = \text{Ext} + \text{Empty} + \text{Pair} + \text{Union} + \text{Pow} + \text{Comp} + \text{Inf} + \text{Rep}$. $\mathcal{ZF} = \mathcal{ZF}^- + \text{AF}$

thm: Assuming $\mathcal{ZF}^-$, AF is equivalent to $\forall x (x \neq \emptyset \rightarrow \exists y \in x (y \cap x = \emptyset))$

def: the rank of x is the least ordinal α st. $x \in V_\alpha$ (rank is class fn)

note: 1) If $x \in V$, then $\text{rank}(x)$ is a successor 2) if $y \in x \in V$, then $\text{rank}(y) < \text{rank}(x)$

3) If $\alpha \in \text{Ord}$, then $\text{rank}(\alpha) = \alpha + 1$ (Hint: consider least ordinal for which it fails)

Prop: $x \in V$ iff $\forall y \in x (y \in V)$ ($x \in V$)

PF: $(\Rightarrow)$: given by (2) in note

$(\Leftarrow)$: Suppose all elts of x are in V . By Rep, $\{\text{rank}(y) : y \in x\}$ is a set. Hence $\beta = \bigcup \{\text{rank}(y) : y \in x\}$ is a set of ordinals and is downwards closed, so β is an ordinal. For each $y \in x$, $y \in V_{\text{rank}(y)} \subseteq V_\beta$ (as $\text{rank}(y) \leq \beta$), which implies $x \subseteq V_\beta$, so $x \in V_{\beta+1}$ and $x \in V$. $\square$

PF (AF equivalent): $(\Rightarrow)$: Let $x \neq \emptyset$, $x \in V = \mathcal{U}$. Consider $\{\text{rank}(y) : y \in x\}$, which is a set by Rep. It is a nonempty set of ordinals, so it has a lex. elt α . Take any elt $y \in x$ w/ $\text{rank}(y) = \alpha$. Then every elt of x has $\text{rank} \geq \alpha$, but every elt of y has $\text{rank} < \alpha$, so $x \cap y = \emptyset$.

$(\Leftarrow)$: Let $x \in \mathcal{U}$ (w/ $x \in V$). First assume x is transitive (i.e. all elts of x are subsets of x). Suppose F.SOC that $x \notin V$. By Prop, not all elts of x are in V , so consider nonempty $\tilde{x} := x \setminus V \subseteq x$ which is a set (by Comp). So $\exists y \in \tilde{x} \subseteq x$ st $y \cap \tilde{x} = \emptyset$. But $y \subseteq x$ by transitivity of x . So $y \subseteq x \setminus \tilde{x} = x \cap V$, thus every elt of y is in V . So $y \in V \Rightarrow y \notin \tilde{x}$.

Now take any set x . We prove the following Lemma: for every x , $\exists y$ transitive st.

$x \subseteq y$. If we can find such a y , then by the previous case, $y \in V$, so $y \subseteq V$ (by Prop), so $x \subseteq y \subseteq V$, thus $x \in V$ by Prop again, as desired.

Define a function $\omega \rightarrow \mathcal{U} : n \mapsto y_n$ recursively by $y_0 := x$, $y_{n+1} = \bigcup y_n$ for all $n \in \omega$, and $y = \bigcup \{y_n : n \in \omega\}$. Note $x = y_0 \subseteq y$, secondly, we claim y is transitive.

Take $z \in y$, so $z \subseteq y_n$ for some $n \in \omega$, and since $y_{n+1} = \bigcup y_n$, $z \subseteq y_{n+1} \subseteq y$. $\square$

Corollary: $\forall x, \exists y$ transitive st. $x \in y$. PF: Take z from lemma, let $y = z \cup \{x\}$. $\square$

• Note: the construction in Lemma gives the smallest transitive set containing x .
So we call this set the transitive closure of x .

• Prop: $AF \Rightarrow \neg \exists x (x \in x)$ (no set is its own elt)

PF1: If $x \in x \in V$, then $\text{rank}(x) < \text{rank}(x)$ $\downarrow$

PF2: $AF \Leftrightarrow$ every non- $\emptyset$ set has an elt disjoint from itself.

Say $x \in x$, consider $\{x\}$ (nonempty), it only has one elt x , but $x \cap \{x\} = \{x\} \neq \emptyset$. $\downarrow$

• Prop (in ZF): there is no seq of sets w/ $x_0 \ni x, \exists x_2 \ni \dots$

Or, there is no fn $f: \omega \rightarrow \mathcal{U}$ st. $\forall n \in \omega, f(n+1) \in f(n)$.

PF: Suppose there was such a function f . Then $\forall n \in \omega, \text{rank}(f(n+1)) < \text{rank}(f(n))$.

But the ordinals are well ordered, but the set $(by \text{ rep}) \{\text{rank}(f(n)); n \in \omega\}$ has no least elt and is non- $\emptyset$ set of ordinals.

Also, $\text{ran}(f) = \{f(n); n \in \omega\}$ is non- $\emptyset$ set that intersects

each of its elts: $f(n) \cap \text{ran}(f) \ni f(n+1)$

• Prop (in ZF): Let X be a set, $\mathcal{R} \subseteq X \times \mathcal{U}$ be a class relation st.

$\forall x \in X \exists y ((x, y) \in \mathcal{R})$. Then $\exists$ a set relation $R \in \mathcal{R}$ st. $\forall x \in X, \exists y ((x, y) \in R)$

PF: Define $(x, y) \in R \Leftrightarrow (x, y) \in \mathcal{R} \wedge \forall z ((x, z) \in \mathcal{R} \rightarrow \text{rank}(y) \leq \text{rank}(z))$.

If $x \in X$, $\alpha = \min \{\text{rank}(y); (x, y) \in \mathcal{R}\}$, then $\{y; (x, y) \in R\} = \{y; (x, y) \in \mathcal{R}\} \cap \bigcup \alpha$, so it's a nonempty set. This implies R is a set (Rep).

back to ZF: • Then let $(A, <)$ be a well ordered set. Then $\exists!$ an ordinal that's order-isomorphic to $(A, <)$, moreover, the isomorphism is also unique.

• this α is called the order type (or just type) of $(A, <)$, denoted $\text{type}(A, <)$

PF: Uniqueness: HW.

Example: Suppose $(A, <)$ is well ordered, st. there is no order preserving bijection b/w A and any ordinal. We want to define a class fn $\Phi: \text{Ord} \rightarrow A$ recursively

by $\Phi(\beta) = \min A \setminus \Phi[\beta]$. Consider the class fn ε (extending by $\varepsilon(\beta) = y \Leftrightarrow$

f is function, $\text{ran}(f) \neq A$, and $y = \min A \setminus \text{ran}(f)$. For all β ,

$\Phi(\beta) = \varepsilon(\Phi \upharpoonright \beta) = \min(A \setminus \text{ran}(\Phi \upharpoonright \beta)) = \min(A \setminus \Phi[\beta])$.

Claim: Let $\alpha \in \text{Ord}$, $f: \alpha \rightarrow \mathcal{U}$ is ε -ind. fn. Then a) $\text{ran}(f) \subseteq A$.

b) f is injective c) f strictly increasing. d) $\text{ran}(f) \neq A$ e) $f \in \text{dom}(\varepsilon)$

Pf (a): f is ε -ind, for all $\beta < \alpha$, $f(\beta) = \varepsilon(f \upharpoonright \beta) = \min A \setminus f[\beta] \in A$.

Pf (b): Take $\gamma < \beta < \alpha$ [wts $f(\gamma) \neq f(\beta)$], $f(\beta) = \min A \setminus f[\beta]$ and $f(\beta) \in A \setminus f[\beta] \not\subseteq f[\gamma]$ so $f(\beta) \neq f(\gamma)$.

Pf (c): take $\gamma < \beta < \alpha$, so $\gamma \neq \beta$, so $f[\gamma] \subseteq f[\beta]$ then $A \setminus f[\gamma] \supseteq A \setminus f[\beta]$, hence $f(\gamma) = \min(A \setminus f[\gamma]) \leq \min(A \setminus f[\beta]) = f(\beta)$, and f is inv. so $f(\gamma) < f(\beta)$.

Pf (d): Else, f is a bijection hence an order isomorphism b/w α and $(A, <)$, which we assumed didn't exist.

Pf (e): by def of ε ,

By transfinite recursion thm (class), $\exists \varepsilon$ -ind class fn $\Phi: \text{Ord} \rightarrow A$, i.e., a class fn defined on Ord st. $\forall \alpha \in \text{Ord}$, $\Phi \upharpoonright \alpha$ is ε -ind. Then by 'class', ran $\Phi \subseteq A$ and Φ is injective. But now we have an injection from proper class to a set. $\downarrow$ □

- Similarly, every well ordered proper class $(C, <)$ is isomorphic to Ord , $\Phi: \text{Ord} \rightarrow C$ given by $\Phi(\beta) := \min C \setminus \{\Phi(\gamma) : \gamma < \beta\} = \min C \setminus \Phi[\beta]$.

- def: a choice fn for a set X is $f: X \rightarrow UX$ st. $\forall x \in X (f(x) \in x)$

- ex: $X = \{a\}$, $a \neq \emptyset$, s.t. a nonempty, a has an elt b . By Pair, $\{a, b\}$ is a set. By Pair again, $\{a, b\}$ is a set and this is a choice fn for X .

- def: A set X is finite if there is a bijection $n \rightarrow X$ for some $n \in \omega$, else infinite

- thm (finite choice) (ZF⁻): If X is a finite set and $\emptyset \notin X$, then X has a choice fn.

Pf: Let $x: n \rightarrow X$ be a bijection (so $X = \{x(0), x(1), \dots, x(n-1)\}$).

Base case: $(n=0)$: $X = \emptyset$, and $\emptyset$ is a choice fn for X .

Inductive step: Suppose the statement is true when X is in bijection w/ $n \in \omega$, and let

$x: (n+1) \rightarrow X$ be a bijection, then $X = \{x(0), \dots, x(n-1), x(n)\}$. Let $X' = \{x(i) : i < n\} = x[n]$

Then $x \upharpoonright n$ is a bijection from n to X' , so by ind. hyp., $\exists$ a choice fn $f': X' \rightarrow UX$ for X' . $x(n)$ is nonempty so it has an elt, say $y \in x(n)$. Define a choice fn $f: X \rightarrow UX$ by $f := f' \cup \{(x(n), y)\}$. □

- ex: $X = \mathcal{P}(\omega) \setminus \{\emptyset\}$: has a choice fn (and is infinite). $f(A) := \min(A)$, $A \subseteq \omega$

(10)

- Axiom of Choice (AC): If $\emptyset \notin X$, then X has a choice fn.

- $\text{ZFC} = \text{ZF} + \text{AC}$, $\text{ZFC}^- = \text{ZF}^- + \text{AC}$ (no foundation)

• thm (ZFC^-) If X is infinite, there is an injection $\omega \rightarrow X$

Pf: X is infinite means there does not exist a bijection $n \rightarrow X$ ($n \in \omega$)

$f: \omega \rightarrow X$ recursively defined as $f(n) := \text{any el of } X \setminus f[n]$. By AC.

there is a choice fn $\text{choice}: \mathcal{P}(X) \setminus \{\emptyset\} \rightarrow X$, define $f_n := \text{choice}(X \setminus f[n])$.

We apply transfinite recursion thm to extension $f_n: (X, \text{choice are parameters})$

$\varepsilon(f) = X \iff f$ is a function, $\text{ran}(f) \neq X$, and $X = \text{choice}(X \setminus \text{ran}(f))$ $\square$

• thm (Well Ordering) (ZF^-): TFAE: (1) AC (2) for every set A , there is a well

ordering on A (3) for every set A , there exists an ordinal α and bijection $\alpha \rightarrow A$

Pf: (2) $\Rightarrow$ (3): every well ordered set is order isomorphic to an ordinal

(3) $\Rightarrow$ (2): let $f: A \rightarrow \alpha$ be a bijection, $\alpha \in \text{Ord}$. define a well ordering on A by $a < b \iff f(a) < f(b)$

(2) $\Rightarrow$ (1): Take a set X , $\emptyset \neq X$. Let $\prec$ be a well order on UX and define a choice fn $f: X \rightarrow UX$ by $f(x) = \min_{\prec} x$.

(1) $\Rightarrow$ (3): Let A be a set. Suppose for contradiction that A is not in bijection with any ordinal. Define a class fn $\Phi: \text{Ord} \rightarrow A$ recursively as follows: AC take a choice fn $\text{choice}: \mathcal{P}(A) \setminus \{\emptyset\} \rightarrow A$ and set $\Phi(\beta) := \text{choice}(A \setminus \Phi[\beta])$.

this is valid b/c $\Phi[\beta] \neq A$ for all β . So we get an injective class

fn $\Phi: \text{Ord} \rightarrow A$ which is a contradiction as we can't inject proper class into a set. $\square$

• def: Let A, B be sets. Write $A \lesssim B$ if there is an injection $A \rightarrow B$. We write $A \approx B$ if there is a bijection $A \rightarrow B$ (we say A, B are equinumerous)

• A is finite if $A \approx n$ for some $n \in \omega$, infinite else

• A is countable if $A \lesssim \omega$

• thm (Cantor-Schröder-Bernstein) (ZF^-): $A \approx B \iff A \lesssim B$ and $B \lesssim A$

Pf: ($\Rightarrow$): bijection is injective and inverse is injective also

($\Leftarrow$): let $f: A \rightarrow B$, $g: B \rightarrow A$ be injections. Let: $B_0 = B \setminus f[A]$,

$A_0 = g[B_0]$, $B_1 = f[A_0]$, $A_1 = g[B_1]$, ... By construction, $B_0, B_1, \dots$ are disjoint

$A_0, A_1, \dots$ are disjoint, $g \upharpoonright B_n$ is a bijection. Here letting $B' = \bigcup B_n$, $A' = \bigcup A_n$,

$g \upharpoonright B'$ is a bijection from $B' \rightarrow A'$. Let $B'' = B \setminus B'$, $A'' = A \setminus A'$. Note

$f \upharpoonright A''$ is a bijection from A'' to B'' . Then letting $h: A \rightarrow B$ as $h(a) = g^{-1}(a)$ if $a \in A'$, and $h(a) = f(a)$ if $a \in A''$, is a bijection. $\square$

thm (Cantor) ($\neg \text{ZF}$): for any X , $X \not\approx \mathcal{P}(X)$. In $\mathcal{P}(X) \not\subseteq X$. Moreover, there is a class function W st. $\forall X, \forall f: X \rightarrow \mathcal{P}(X), W(X, f) \in \mathcal{P}(X) \setminus \text{ran}(f)$.

PF: $X \not\approx \mathcal{P}(X)$ by $X \mapsto \{x\}$. Define for any $f: X \rightarrow \mathcal{P}(X), W(X, f) = \{x \in X : x \notin f(x)\} \subseteq X$. Suppose for contradiction $W(X, f) \in \text{ran}(f)$, so $W(X, f) = f(x)$ for some x . If $x \in W(X, f)$, then by def of W , $x \notin f(x) = W(X, f)$. But if $x \notin W(X, f)$, then $x \in W(X, f)$ by def of W . So $W(X, f) \notin \text{ran}(f)$. So there cannot be a surjection (hence bijection) of $X \rightarrow \mathcal{P}(X)$. $\square$

thm (Hartogs) (ZF): for every X , there is an ordinal α st. $\alpha \not\subseteq X$.

PF: Let $S := \{\alpha \in \text{Ord} : \alpha \subseteq X\}$. We claim X is a set (so $S \neq \text{Ord}$). If we have an injection $f: \alpha \rightarrow X, \alpha \in \text{Ord}$, we get a well ordering on $\text{ran}(f) \subseteq X$ of order type α . Conversely, if $<$ is a well ordering on a subset $Y \subseteq X$, then we have an injection $\text{type}(<) \rightarrow X$ (a bijection $\text{type}(<) \rightarrow Y$).

So, $S = \{\text{type}(<) : < \text{ is a well-ordering on a subset of } X\}$. Note

$W = \{< : < \text{ is a well-ordering on subsets of } X\} \subseteq \mathcal{P}(X \times X)$ (well ordering is a set of ordinal pairs). So W is a set by Pow, Comp, then S is a set by Rep.

So there is an ordinal in Ord (a proper class) but not in S (a set).
 $\hookrightarrow$ ex. S is the least ordinal which doesn't inject into X .

thm (ZF): TFAE: (1) AC (2) for every set A, B , $A \lesssim B$ or $B \lesssim A$.

PF: (1) $\Rightarrow$ (2): Assume AC, let A, B sets. Then exists ordinals $\alpha \approx A, \beta \approx B$ (AC!).

WLOG, $\alpha \lesssim \beta$ ($\alpha \subseteq \beta$), then $A \approx \alpha \lesssim \beta \approx B$ so $A \lesssim B$.

(2) $\Rightarrow$ (1): Assume (2). We will show every set can be well ordered (equiv to AC).

Let X be a set. Then $\exists \alpha \in \text{Ord}$ st. $\alpha \not\subseteq X$ by Hartogs. Then $X \lesssim \alpha$ by (2), an injection $f: X \rightarrow \alpha$. Picking $x < y \Leftrightarrow f(x) < f(y)$ gives a well ordering on X . $\square$

def: say X be well-orderable if there is a well ordering on $X \Leftrightarrow$ equinumerous w/ an ordinal

def: If X is well-orderable, its cardinality is $|X| := \min(\alpha \in \text{Ord} : \alpha \approx X)$.

$\hookrightarrow$ AC $\Leftrightarrow$ every set has a cardinality

def: a cardinal is an ordinal κ st. there is no smaller ordinal $\gamma < \kappa$ and $\gamma \approx \kappa$

$\hookrightarrow$ X well orderable, then $|X|$ is a cardinal

$\hookrightarrow \alpha \in \text{Ord}$ is a cardinal iff $|\alpha| = \alpha$ i.e. no injection to any smaller ordinal

Prop: If κ is a cardinal, γ is an ordinal w/ $\kappa \leq \gamma$, then $\kappa \leq \gamma$.

PF: Let $f: \kappa \rightarrow \gamma$ be an injection. If $\gamma < \kappa$ ($\gamma \neq \kappa$), then there is an injection $\gamma \rightarrow \kappa$. So by C-S-B, $\kappa \leq \gamma$. But we have a contradiction as $\gamma < \kappa$ but κ is an ordinal. $\square$

PF (w/o C-S-B): Let $f: \kappa \rightarrow \gamma$ injective, then $\text{ran}(f) \subseteq \gamma$ is well ordered.

Then there is a strictly increasing bijection from $\delta = \text{type}(\text{ran}(f), <)$ and $\text{ran}(f)$ ($\delta \rightarrow \text{ran}(f) \subseteq \gamma$). Then $\delta \leq \gamma$ by HW2. So $\kappa \approx \delta$ by $\kappa \approx \text{ran}(f) \xrightarrow{\sim} \delta$. $\square$

Assuming AC: $A \leq B \Leftrightarrow |A| \leq |B|$, $A \approx B \Leftrightarrow |A| = |B|$.

For any well-ordered sets A, B , $A \leq B \Leftrightarrow |A| \leq |B|$, $A \approx B \Leftrightarrow |A| = |B|$

Then every natural # is a cardinal (if $n < m < \omega$, then $n \neq m$)

PF: Since $n+1 \leq m$, it's enough to show $n+1 \leq n$.

Base case ($n=0$): there are no (injective) fns from $1 = \{\emptyset\}$ to $0 = \emptyset$.

Inductive step: Suppose $n+1 \not\leq n$. Suppose for contradiction that $f: n+1 \rightarrow n$ is an injection. If $f(n+1) = n$, then $f \upharpoonright n+1 = f \upharpoonright \{0, \dots, n\}$ is an

injection from $n+1 \rightarrow n$, a contradiction. Next if $n \notin \text{ran}(f)$,

then $f \upharpoonright (n+1)$ is still an injection $n+1 \rightarrow n$, a contradiction. Lastly, then

for some $k < n$, $f(n+1) = k$ and for some $j < n+1$, $f(j) = n$.

Swap these values / map $j \rightarrow k$; and restrict to $(n+1)$ to produce an injection. $\square$

Corollary: ω is a cardinal

PF: If $\omega \approx n$ for some $n < \omega$, then $(n+1) \leq \omega \approx n \Rightarrow n+1 \leq n$, contradiction. $\square$

Prop: An infinite cardinal is a limit ordinal (not a successor)

By Hartogs, for every cardinal, there is a strictly larger cardinal

def: For a cardinal κ , $\kappa^+ =$ the successor (cardinal) of $\kappa = \min(\lambda \in \text{Card}, \lambda > \kappa)$
 $= \{\alpha \in \text{Ord} : |\alpha| \leq \kappa\}$

note: Card is a proper class

note: Since Card $\setminus \omega = \{\text{infinite cardinals}\}$ is a well-ordered proper class, we have an order-preserving bijection class fn $\text{Ord} \rightarrow \text{Card} \setminus \omega$ denoted $\aleph$, $\alpha \mapsto \aleph_\alpha$, $(\aleph_\alpha)^+ = \aleph_{\alpha+1}$ for all $\alpha \in \text{Ord}$, $\aleph_0 = \omega$

assuming AC (every set has a cardinality): Continuum Hyp: $|\mathcal{P}(\omega)| = \aleph_1$

without AC: If $\omega \leq A \leq \mathcal{P}(\omega)$, then either $A \approx \omega$ or $A \approx \mathcal{P}(\omega)$

def: If $(X, <_x), (Y, <_y)$ are linear orders, $(X, <_x) \oplus (Y, <_y)$ is a linear order that looks like $\overbrace{X, <_x} + \overbrace{Y, <_y}$. To disjoin them, the underlying set is $X \cup Y := (X \times \{0\}) \cup (Y \times \{1\})$.

• If $<_x, <_y$ are well orderings, $<_x \oplus <_y$ is also a well ordering (also $\otimes$)

def: $(X, <_x) \otimes (Y, <_y)$ is an ordering of $X \times Y$: $\overbrace{\overbrace{x} \otimes \overbrace{y}}^{\overbrace{x \otimes y}}$

def: for $\alpha, \beta \in \text{Ord}$, $\alpha + \beta = \text{type}(\alpha \oplus \beta)$, $\alpha \beta = \text{type}(\alpha \otimes \beta)$

note: $\alpha \cdot 2 = \alpha + \alpha$ because $\alpha \otimes 2 = \alpha \oplus \alpha$ $\overbrace{\alpha \times 2} \quad \overbrace{\alpha \times 1}$

$\omega + \omega = \text{type}(\overbrace{\overbrace{\omega} \otimes \overbrace{\omega}}^{\overbrace{\omega \otimes \omega}})$ $\omega + \omega = \text{type}(\overbrace{\overbrace{\omega} \otimes \overbrace{\omega}}^{\overbrace{\omega \otimes \omega}})$ $n + \omega = \omega$ $\overbrace{\overbrace{n} \otimes \overbrace{\omega}}^{\overbrace{n \otimes \omega}}$

$2 \cdot \omega = \overbrace{\overbrace{2} \otimes \overbrace{\omega}}^{\overbrace{2 \otimes \omega}} = \omega$

$\omega \cdot 2 = \overbrace{\overbrace{\omega} \otimes \overbrace{2}}^{\overbrace{\omega \otimes 2}} = \omega + \omega$ $+$, not commutative

• $\alpha + 0 = 0 + \alpha = \alpha$

• $\alpha \times 0 = 0 \times \alpha = 0$

• $+$, $\cdot$ are associative

• $\alpha(\beta + \gamma) = \alpha\beta + \alpha\gamma$ $\overbrace{\overbrace{\alpha} \otimes \overbrace{\beta + \gamma}}^{\overbrace{\alpha \otimes (\beta + \gamma)}}$ $\overbrace{\overbrace{\alpha\beta} + \overbrace{\alpha\gamma}}^{\overbrace{\alpha\beta + \alpha\gamma}}$

• $(\alpha + \beta)\gamma \neq \alpha\gamma + \beta\gamma$ $(1+1)\omega \neq \omega + \omega$

• $1 \cdot \alpha = \alpha \cdot 1 = \alpha$

thm: If $n, m < \omega$, $n + m = m + n$

pf: Induct on m . Base case ($m=0$): $n+0 = 0+n = n$ ✓

Inductive step: Sps $n+m = m+n$. WTS: $n+(m+1) = (m+1)+n$. Note, $n+(m+1) \stackrel{\text{ord type}}{=} (n+m)+1 \stackrel{\text{ind hyp}}{=} (m+n)+1 \stackrel{\text{ord type}}{=} m+(n+1) [= m+(1+n) = (m+1)+n]$

We need to show $n+1 = 1+n$. Induction on n : Base case ($n=0$): $1+0 = 0+1 = 1$ ✓

Inductive step: Sps $n+1 = 1+n$. WTS: $1+(n+1) = (n+1)+1$. Note,

$1+(n+1) = (1+n)+1 \stackrel{\text{ind hyp}}{=} (n+1)+1$ as desired. □

def: If X is a set of ordinals, $\sup X = \min$ ordinal α st. $\beta \leq \alpha \forall \beta \in X$

• $\sup X = \cup X$

lemma: Let $(X, <)$ be a well ordered set. Sps S is a set of downward closed subsets of X st. $X = \cup S$. Then $\text{type}(X, <) = \sup_{D \in S} \text{type}(D, <|_D)$

Prop: for $\alpha, \beta \in \text{Ord}$, $\alpha + \beta = \begin{cases} \alpha & \text{if } \beta = 0 \\ (\alpha + \gamma) + 1 & \text{if } \beta = \gamma + 1 \end{cases}$ $\sup_{\gamma < \beta} (\alpha + \gamma)$ if β is limit

Prop: for $\alpha, \beta \in \text{Ord}$, $\alpha \beta = \begin{cases} 0 & \text{if } \beta = 0 \\ \alpha \gamma + \alpha & \text{if } \beta = \gamma + 1 \end{cases}$ $\sup_{\gamma < \beta} (\alpha \gamma)$ if β is limit

• If $\gamma < \beta$ then $\alpha + \gamma < \alpha + \beta$ and $\alpha \gamma < \alpha \beta$ (strict monotone inc in 2nd argument) but $\gamma + \alpha \leq \beta + \alpha$ and $\gamma \alpha \leq \beta \alpha$

def: for $\alpha, \beta \in \text{Ord}$, $\alpha^\beta = \begin{cases} 1 & \text{if } \beta = 0 \\ \alpha^\gamma \cdot \alpha & \text{if } \beta = \gamma + 1 \\ \sup_{\gamma < \beta} \alpha^\gamma & \text{if } \beta \text{ is limit} \end{cases}$

def: for $\alpha, \beta \in \text{Ord}$, $\alpha^\beta = \begin{cases} 1 & \text{if } \beta = 0 \\ \alpha^\gamma \cdot \alpha & \text{if } \beta = \gamma + 1 \\ \sup_{\gamma < \beta} \alpha^\gamma & \text{if } \beta \text{ is limit} \end{cases}$

- def/Application: Given $n < \omega$, $2 \leq b < \omega$, the base b expansion of n is $n = b^k \cdot d_k + b^{k-1} \cdot d_{k-1} + \dots + b \cdot d_1 + d_0$ where $k < \omega$ and $0 \leq d_i \leq b-1$, called the digits of the expansion. The expansion is unique w/ $d_k \neq 0$.

- def: hereditary base-2 expansion: write each exponent in base 2, each of those exponents in base 2, etc... $100 = 2^{2^2+2} + 2^{2^2+1} + 2^2$

- def: a Goodstein sequence is a sequence $(n_b)_{b \geq 2}$ of natural numbers st. for all $b \geq 2$ 1) if $n_b = 0$, $n_{b+1} = 0$ 2) else, write the hereditary base- b expansion of n_b and replace every b by $b+1$, then subtract 1 (th is n_{b+1})

ex: $n_2 = 100 = 2^{2^2+2} + 2^{2^2+1} + 2^2$ $n_3 = 3^{3^3+3} + 3^{3^3+1} + 3^3 - 1 \approx 2 \cdot 10^{14}$ (big number :))
 $= 3^{3^3+3} + 3^{3^3+1} + 3^3 \cdot 2 + 3 \cdot 2 + 2$, $n_4 = 4^{4^4+4} + 4^{4^4+1} + 4^2 \cdot 2 + 4 \cdot 2 + 2 - 1 \approx 3 \cdot 10^{156}$

- thm (Goodstein): Every Goodstein sequence reaches 0 in finitely many steps

ex: $n_2 = 3$ takes 7 steps to reach 0, $n_2 = 4$ takes $\approx 3 \cdot 2^{4 \cdot 10^9}$ steps in finite steps

Pf idea: replace the base by ω , then the "-1" gives a decreasing seq of ordinals which must reach 0
 $F_b(0) = 0$, $F_b(n) =$ write n in her. base b , replace b with ω .

Lemma: for each $2 \leq b < \omega$, $F_b: \omega \rightarrow \text{Ord}$ is strictly increasing. (pf by induction)

let $(n_b)_b$ be a G. sequence, let $\alpha_b = F_b(n_b) \in \text{Ord}$. let (if $n_b \neq 0$)

$m_b =$ Number from n_b replacing $b \rightarrow b+1$. Then $n_{b+1} = m_b - 1$, so

$$\alpha_b = F_b(n_b) = F_{b+1}(m_b) = F_{b+1}(n_{b+1} + 1) \underset{\text{lem}}{>} F_b(n_{b+1}) = \alpha_{b+1}$$

but we cannot have an infinite decreasing seq of ordinals. $\square$

- def: for two cardinals κ, λ , let $\kappa \oplus \lambda = |\kappa \sqcup \lambda|$ well orderable has a cardinality and $\kappa \otimes \lambda = |\kappa \times \lambda|$ (also well orderable)

- Facts: $n, m < \omega$ then $n+m = n \oplus m < \omega$, $nm = n \otimes m < \omega$,

$\oplus, \otimes$ are associative and commutative, left & right distributive

- thm: for any infinite cardinal κ , $\kappa \otimes \kappa = \kappa$

if one of κ, λ is infinite, $\kappa \oplus \lambda = \max(\kappa, \lambda)$, if non-zero, $\kappa \otimes \lambda = \max(\kappa, \lambda)$

- fact (AC) if A is infinite, $A \approx A \times A$

1) if A, B well-ord, $|A \cup B| = \max\{|A|, |B|\}$

2) B finite, well-ord, $A \leq B$, $|A| < |B|$, then $|B \setminus A| = |B|$

AC: given A, which injection to Ux ?
 mapping which comes from

4) (AC) Cnt union of cnt sets is cnt

Pf: X is cnt $\Rightarrow X \approx \omega \Rightarrow f: X \rightarrow \omega$ injection. def: $UX := \{(A, a) : a \in A \in X\}$.
 $UX \rightarrow Ux$ surjection by $(A, a) \mapsto a$. each $A \in X$ cnt, $\exists g_A: A \rightarrow \omega$ injective.
 define $h: UX \rightarrow \omega \times \omega$ by $h(A, a) = (f(A), g_A(a))$, injective.
 So $UX \leq \omega \times \omega = \omega$, and hence UX, Ux are countable. $\square$

5) (AC) If k is an inf. cardinal, then the union of $\leq k$ sets of card $\leq k$ has card $\leq k$

(AC on) def: for cardinal k, λ , let $k^\lambda = |^k K|$ set of all $f: \lambda \rightarrow k$

note: ordinal exp $\neq$ cardinal exp. ω refers to the ordinal, $\aleph_0$ refers to the cardinal.
 $\omega^2 = \sup_{n \in \omega} 2^n = \omega$, but $2^{\aleph_0} = |\mathcal{P}(\omega)|$

6) $|\mathcal{P}(k)| = 2^k \forall k \in \text{card} : 2^k = |^k 2| = |\{f: k \rightarrow \{0, 1\}\}|$. $f \mapsto \{0 \leq k: f(0) = 1\}$

7) If $\lambda \geq \omega$, $2 \leq k \leq \lambda$, then $k^\lambda = 2^\lambda$

Pf: $k \geq 2 \Rightarrow 2^\lambda \leq k^\lambda$. for other direction: $2^\lambda = 2^{\lambda \otimes 2} = (2^2)^\lambda \geq 2^\lambda \geq k^\lambda \square$

${}^{\lambda \otimes 2} 2$: set of fns $f: \lambda \times 2 \rightarrow 2$ currying
 ${}^2(\lambda \otimes 2)$: set of fns $F: \lambda \rightarrow ({}^2 2)$

def: $x \in \mathbb{R}$ is algebraic if it is a root to a poly w/ integer coeffs.
 transcendental otherwise

thm: $\exists$ transcendental numbers

Pf: polys of deg $\leq d$ w/ integer coeffs $\approx {}^{d+1} \mathbb{Z} \approx \omega$. So

|polys w/ intgy coeffs| $\leq \omega \otimes \omega = \omega$, each poly has finite # roots. $\square$

note: If k is a cardinal, $k = \{\alpha \in \text{Ord} : \alpha < k\} = \{\alpha \in \text{Ord} : |\alpha| < k\}$, $\alpha < k \Leftrightarrow |\alpha| < k$

thm (Weierstrass): If $f: \mathbb{R} \rightarrow \mathbb{R}$ is any fcn, then f is a sum of 2 nowhere fns

Pf: fix a bijection $2^{\aleph_0} \rightarrow \mathbb{R}$ by $\alpha \mapsto x_\alpha$ ($2^{\aleph_0} \approx |\mathbb{R}|$), so $\mathbb{R} = \{x_\alpha : \alpha \in 2^{\aleph_0}\}$

Recurse on $\alpha < 2^{\aleph_0}$: Suppose $\beta < 2^{\aleph_0}$ and all $g(x_\beta), h(x_\beta)$ have been determined for all $\beta < \alpha$. Define $A_\alpha = \{g(x_\beta) : \beta < \alpha\}$ (want $g(x_\alpha) \notin A_\alpha$ for injectivity of g), and $B_\alpha = \{f(x_\beta) - h(x_\beta) : \beta < \alpha\}$ (want $h(x_\alpha) \notin B_\alpha$ for injectivity of h). Then $|A_\alpha|, |B_\alpha| \leq |\alpha|$ so $|A_\alpha \cup B_\alpha| \leq |\alpha| \otimes |\alpha| < 2^{\aleph_0}$ and so $\mathbb{R} \neq A_\alpha \cup B_\alpha$. (AC): Choose $y \in \mathbb{R} \setminus (A_\alpha \cup B_\alpha)$, define $g(x_\alpha) = y$, and $h(x_\alpha) = f(x_\alpha) - y$
 $\hookrightarrow$ true in ZF $\square$

▷ Additive Ramsey Theory

- Thm: If $k < \omega$ and $f: \omega \rightarrow k$ (color the naturals), then there exist distinct $a, b, c, d \in \omega$ st. $f(a) = f(b) = f(c) = f(d)$ and $a + b = c + d$

Pf: fix $f: \omega \rightarrow k$

Step 1: For each $x \in \omega$, there exist $a \leq b < k+1$ and $i < k$

st. $f(a+x) = f(b+x) = i$ (Pigeonhole).

Let $T(x)$ be an arb. triple $(a, b, i) \in (k+1) \times (k+1) \times k$ st. above holds

Step 2: There are $X < Y < Z$ st. $T(x) = T(y) = T(z)$ (Pigeonhole, finite $< \omega$)

Step 3: There are $X < Y$ st. $T(x) = T(y) = (a, b, i)$ and $y - x \neq b - a$

Pf: From step 2, both $y - x$ and $z - x$ cannot be $b - a$. $\square$

Now, we have that $f(a+x) = f(b+x) = f(a+y) = f(b+y) = i$

and $(a+x) + (b+y) = (b+x) + (a+y)$ distinct (b/c $y - x \neq b - a$) $\square$

- Q: Given $f: \mathbb{R} \rightarrow \omega$ (color reals w/ countable colors) does skatnet still hold?

- Thm: "Yes" iff CH fails ($|\mathbb{R}| = 2^{\aleph_0} > \aleph_1$)

- Lemma/Def: for a set $X \subseteq \mathbb{R}$, define $\hat{X}$ as follows: $X = X_0$,

$X_{n+1} = X_n \cup \{a+b, a-b : a, b \in X_n\}$, $\hat{X} = \bigcup_{n \in \omega} X_n$ (additive group

generated by X). Thm 1) $X \subseteq \hat{X}$, 2) X is closed under $+$, $-$

3) $X \subseteq Y \Rightarrow \hat{X} \subseteq \hat{Y}$ 4) X countable $\Rightarrow \hat{X}$ countable

▷ Cofinality

- $\aleph_\omega = \sup_{n \in \omega} \aleph_n = \bigcup_{n \in \omega} \aleph_n$ - first limit cardinal

- Def: Let k be a cardinal. The cofinality $cf(k)$ is the least

cardinal λ st. k is the union of λ sets of cardinality $< k$

$$\aleph_0 \leq cf(\aleph_\omega) \leq \aleph_0$$

can't have finite union

we wrote it as union of ω sets

Def: Let $\alpha, \beta \in \text{Ord}$. A function $f: \alpha \rightarrow \beta$ is cofinal (in β) if

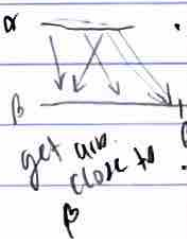
$\forall \gamma < \beta, \exists \delta < \alpha$ st. $f(\delta) \geq \gamma$

the cofinality of β , $cf(\beta)$ is the least α st. $\exists f: \alpha \rightarrow \beta$ cofinal

ex: $cf(0) = 0$, $cf(1) = 1$, $cf(2) = 1$, $\alpha = 1$, $cf(\omega) = 1$ ($\omega \in \omega$)

$cf(\omega) = \omega$, $cf(\omega+1) = 1$, $cf(5) = 1$, $\beta = 5$, $cf(\beta+1) = 1$ ($\beta \in \text{Ord}$)

$cf(\alpha) \geq \omega \forall \text{ limit ord } \alpha$



$$2^\alpha = \alpha \Leftrightarrow \alpha \text{ is a fixed point}$$

$$\alpha \text{ is a limit ordinal} \Rightarrow \alpha \leq 2^{\beta+1} < 2^{\beta+2} = 2^{\beta+1} = 2^\alpha$$

Composition of cf. fns. is cf.

Fact 1: $cf(\beta)$ is a cardinal ($|cf(\beta)| \approx cf(\beta) \rightarrow \beta \Rightarrow cf(\beta) = |cf(\beta)|$)

$\Rightarrow cf(\beta) \leq |\beta| \leq \beta$ ($|\beta| \rightarrow \beta$ is cofinal fn) ($cf(\aleph_\alpha) = \aleph_\alpha$, $\alpha \mapsto \aleph_\alpha$)

Lemma: Let $\beta \in \text{Ord}$. Then $\exists$ strictly increasing cofinal fn $cf(\beta) \rightarrow \beta$

Lemma: Let $\alpha, \beta \in \text{Ord}$. If $\exists$ strictly inc cofinal fn $\alpha \rightarrow \beta$, then $cf(\alpha) = cf(\beta)$

($cf(\alpha) \geq cf(\beta)$): $cf(\alpha) \rightarrow \alpha \rightarrow \beta$

Corollaries: 1) $cf(\beta) = cf(cf(\beta))$ 2) If α is a limit ord, $cf(\aleph_\alpha) = cf(\alpha)$

Thm (AC): If κ is an infinite cardinal, then $cf(\kappa^+) = \kappa^+$ (κ^+ is smallest cardinal w/ strictly greater cardinality)

ex: $cf(\aleph_1) = \aleph_1$, $cf(\aleph_{\omega+5}) = \omega+5$, $cf(\aleph_{\aleph_2}) = cf(\aleph_3) = \aleph_3$

def: an infinite cardinal κ is regular if $cf(\kappa) = \kappa$, singular otherwise

ex: every successor cardinal is regular, $\aleph_\omega$ is singular

def: a cardinal κ is weakly inaccessible if $\kappa > \omega$, regular, and a limit cardinal

def: κ is inaccessible if $\kappa > \omega$, regular, and $\kappa > 2^\lambda$ for all $\lambda < \kappa$

κ weakly inaccessible $\Rightarrow \kappa = \aleph_\alpha$ for some limit ordinal α ($\alpha < \kappa$)

$\kappa = cf(\kappa) = cf(\aleph_\alpha) = cf(\alpha) \leq \alpha \Rightarrow \alpha = \kappa$ so $\kappa = \aleph_\kappa$ w/o AC,

$\lambda := \sup(\aleph_0, \aleph_{\aleph_0}, \aleph_{\aleph_{\aleph_0}}, \dots)$ then $\lambda = \aleph_\lambda$ but $cf(\lambda) = \omega$

Lemma (König): If κ is an infinite cardinal then $\kappa^{cf(\kappa)} > \kappa$ (no surjection $\kappa \rightarrow {}^{cf(\kappa)}\kappa$)

Corollary: $cf(2^\kappa) > \kappa$ pf: If $cf(2^\kappa) \leq \kappa$, then $2^\kappa \leq (2^\kappa)^{cf(2^\kappa)} \leq 2^{\kappa \cdot \kappa} = 2^{\aleph_0 \cdot \kappa} = 2^{\aleph_0 \cdot \aleph_0} = 2^{\aleph_0} = 2^\omega$

Consider class fn $F(\kappa) = 2^\kappa$ (for infinite cardinals κ)

$\hookrightarrow$ König: $cf(F(\kappa)) > \kappa$ $\hookrightarrow \kappa \leq \lambda \Rightarrow F(\kappa) \leq F(\lambda)$

Easton's Thm: Any fn F satisfying the above can be the map $\kappa \mapsto 2^\kappa$ as regular card κ . (this is the only restrictions on maps $\kappa \mapsto 2^\kappa$)

Silver's Thm: $\aleph_\alpha$ for any regular cardinal α of uncountable cofinality can't be smaller counterexample for GCH

PCF theory (Shelah): If $2^{\aleph_1} < \aleph_\omega$ then $2^{\aleph_\omega} < \aleph_{\aleph_1}$

ACOFF: CH: $\omega \leq A \leq \mathcal{P}(\omega)$ then $\omega \approx A$ or $A \approx \mathcal{P}(\omega)$ (AC: $2^{\aleph_0} = \aleph_1$)

GCH: If X infinite and $X \leq A \leq \mathcal{P}(X)$, then $A \approx X$ or $A \approx \mathcal{P}(X)$ (AC: $2^\kappa = \kappa^+$)

Thm (Simpson): $GCH \Rightarrow AC$

PF, den: Let X infinite. Show: $X \leq X \times X \leq \mathcal{P}(X)$ and $\mathcal{P}(X) \not\leq X \times X$,

by GCH, $X \approx X \times X$, Tarski's thm $\Rightarrow AC$

□

no AC

$$\alpha \text{ limit} \Rightarrow \alpha = \sup \beta$$

$$2\alpha = 2 \cdot \sup \beta = \sup 2\beta = \alpha$$

finite seq of X

$$\bigcup_{n \in \mathbb{N}} \overset{\eta}{X} \xrightarrow{\eta} \overset{\eta}{X}$$

no AC
↓

- Thm (Hartmann-Schulz) (no AC): If X set, $\omega \leq X$, then $P(X) \not\leq^{\omega} X$
- Thm (Specker): If $5 \leq X$, then $P(X) \not\leq X \times X$
- Lemma: If X infinite, $P(X) \not\leq X \sqcup 1$ (can't inject ω into $X \cup \{0\}$)
- Claim: $X \sqcup 1 \cong X \Leftrightarrow \omega \leq X$

Pf of Claim: Just assume X , 1 diagram for notation, work w/ $X \cup \{0\}$.

($\Rightarrow$): Let $\omega \rightarrow X$, $n \mapsto x_n$ injection. $f: X \cup \{0\} \rightarrow X$ by

$$f(x) = \begin{cases} x_0 & \text{if } x=0 \\ x_{n+1} & \text{if } x=x_n \text{ for } n; X \text{ else} \end{cases} \quad (\Rightarrow) \text{ extn. } \square$$

Pf of Lemma: We know $P(X) \not\leq X$. So if $\omega \leq X$, then $X \cong X \sqcup 1$

implies $P(X) \leq X \sqcup 1$. So assume FSC thm: $P(X) \rightarrow X \sqcup 1$ injection,

(we will show $\omega \leq X$, contradiction). WLOG we can assume

$$f(X) = 0. \text{ now define } x_0 = f(\emptyset), x_1 = f(\{x_0\}), x_2 = f(\{x_0, x_1\}), \dots$$

$n \mapsto x_n$ is an injection $\omega \rightarrow X$ bc f is injective and each $\{x_0, \dots, x_n\}$ is finite so not $\cong X$, hence $x_n \in X$ (not $\in \{0\}$) and x_n are

distinct since $\{x_0, \dots, x_n\}$ are diff sizes and f injective. $\square$

- GCH $\Rightarrow$ for every infinite X , $X \sqcup 1 \cong X$ and $\omega \leq X$

- Lemma: $\exists$ class fn $\text{Ord} \setminus \omega \rightarrow \text{Ord} : \alpha \mapsto q_\alpha$ s.t. for every ordinal α , q_α is a bijection $\alpha \times \alpha \rightarrow \alpha$.

- Thm: $\exists$ class fn $\text{Ord} \setminus \omega \rightarrow \text{Ord} : \alpha \mapsto p_\alpha$ s.t. for every ordinal α , p_α is a bijection ${}^\omega \alpha \rightarrow \alpha$

- Canter Normal Form: writing ordinals in base ω

- Thm: for every $\alpha \in \text{Ord}$, $\exists$ unique finite seq of ordinals

$$\beta_1 > \beta_2 > \dots > \beta_k \text{ and } > 0 \text{ integers } d_1, \dots, d_k \text{ s.t. } \alpha = \omega^{\beta_1} \cdot d_1 + \omega^{\beta_2} \cdot d_2 + \dots + \omega^{\beta_k} \cdot d_k$$

- note: $\varepsilon_0 = \omega^{\omega} = \sup(\omega, \omega^{\omega}, \omega^{\omega^{\omega}}, \dots)$ then $\omega^{\varepsilon_0} = \varepsilon_0$ (CNF has $\alpha = \beta_1$)

- Good: GCH $\Rightarrow$ AC ($\Leftrightarrow X \cong X \times X$ for inf. X)

$$\text{Steps: } \omega \leq X \Rightarrow P(X) \not\leq^{\omega} X \quad 5 \leq X \Rightarrow P(X) \not\leq X \times X$$

Want explicit bijection $\alpha \times \alpha \rightarrow \alpha$, α ordinal

Pf: Existence: Induction on α . $\alpha = 0$, $0 = 0$ is CNF

Ind: Let $\alpha > 0$, sps all smaller ord. have CNF:

$$S = \{\beta \in \text{Ord} : \omega^{\beta} \leq \alpha\} \subseteq \alpha$$

(set)

$$\sup S = \max S$$

Claim: S has a largest elt. $w^{\sup S} = \sup \{w^\beta : \beta \in S\} \leq \alpha$ so $\sup S \in S$

def: for any two ordinals α, β , the fusion $\alpha * \beta$ is defined:

CNF: $\delta_1 > \delta_2 > \dots > \delta_k$ and $c_1, c_k, d_1, \dots, d_k \leq w$ (could be 0)

$$\alpha = w^{\delta_1} c_1 + \dots + w^{\delta_k} c_k, \quad \beta = w^{\delta'_1} d_1 + \dots + w^{\delta'_n} d_n$$

$$\alpha * \beta := w^{\delta_1} f(c_1, d_1) + \dots + w^{\delta_k} f(c_k, d_k) \quad (\text{for a bijection } f: w \times w \rightarrow w)$$

$\hookrightarrow \text{Ord} \times \text{Ord} \rightarrow \text{Ord} : (\alpha, \beta) \mapsto \alpha * \beta$ is a bijection (b/c f is bijection)

$$\alpha * \alpha \approx w^\alpha \times w^\alpha \approx w^\alpha \cdot \alpha$$

Thm: I claim for $\text{Ord} \setminus w \rightarrow \mathcal{U}$ st for each $w \leq \alpha$ ad, f_α is a bijection $w \rightarrow \alpha$

(Specul) Pf: If $w \leq X$, then $\mathcal{P}(X) \not\approx X \times X \leq w^X$ (scpt of length 2)

Strat: Given an bijection $f: \mathcal{P}(X) \rightarrow X \times X$, we'll construct an injection $w \rightarrow X$

Fact 1: If X is finite, $|X| = n < w$, then $\mathcal{P}(X)$ is finite too, and $|\mathcal{P}(X)| = 2^n$

Moreover, there is a function $w \rightarrow \mathcal{U} : n \mapsto \mathcal{U}_n$ st $\mathcal{U}_n : \mathcal{P}(n) \rightarrow 2^n$;

a bijection (a canonical choice for each bijection)

Fact 2: If $5 \leq n < w$ then $2^n > n^2$

$\Rightarrow$ If X finite, $\mathcal{P}(X) \not\approx X \times X$ b/c $|\mathcal{P}(X)| = 2^n, |X \times X| = n^2$

So assume X infinite, 'as $5 \leq X$, then $a_0, \dots, a_4 \in X$ distinct exist.

$\uparrow f: \mathcal{P}(X) \rightarrow X \times X$ bijection [WANT: injection $w \rightarrow X : n \mapsto a_n$] recursively

Sps for some $5 \leq n < w$, $a_0, \dots, a_{n-1} \in X$ determined.

[WANT to choose $a_n \in X \setminus S$, $S = \{a_i : i < n-1\}$] - well only $\mathcal{P}(S) \rightarrow 2^n \square$

GCH $\Rightarrow$ AC

Pf: Let X infinite. GCH $\Rightarrow w \approx X$. So $\mathcal{P}(X) \not\approx w^X$. $X \approx X \times X \approx \mathcal{P}(X)$

Claim 0: $X \approx X \sqcup 1$ ($\Leftrightarrow w \approx X$)

Claim 1: $X \approx X \sqcup X$ Pf: Obv $X \leq X \sqcup X$. $\mathcal{P}(X) \sqcup \mathcal{P}(X) \approx \mathcal{P}(X \sqcup \{0\})$

by (A, i) $\mapsto \{A \text{ if } i=0, A \cup \{S\} \text{ if } i=1\}$. $X \approx X \sqcup X \leq \mathcal{P}(X) \sqcup \mathcal{P}(X) \approx \mathcal{P}(X \sqcup 1) \approx \mathcal{P}(X)$

But HS: $\mathcal{P}(X) \not\approx X \sqcup X \approx w^X$ so GCH $\Rightarrow X \approx X \sqcup X$ $\square$

Claim 2: $X \approx X \times X$. Pf: $\mathcal{P}(X) \times \mathcal{P}(X) \approx \mathcal{P}(X \sqcup X)$.

$X \approx X \times X \approx \mathcal{P}(X) \times \mathcal{P}(X) \approx \mathcal{P}(X \sqcup X) \approx \mathcal{P}(X)$ but $\mathcal{P}(X) \not\approx X \times X$

by HS, hence $X \approx X \times X$ by GCH. $\Rightarrow$ AC. $\square \cup \square$

- If U satisfies $(F)ZF^-$ then $V \models ZF$
- def. (U, ε) . let $\mathcal{C}$ be a class, φ be a formula. Write $\varphi^{\mathcal{C}}$ for the formula obtained from φ by replacing quantifiers to $\mathcal{C}$: $\exists x: \exists x \rightarrow \exists x \in \mathcal{C}$, $\forall x: \forall x \rightarrow \forall x \in \mathcal{C}$
- def. If φ is fmla w/o free vars, params from $\mathcal{C}$, then φ holds in $\mathcal{C}$ or $\mathcal{C}$ satisfies φ ($\mathcal{C} \models \varphi$) if $\varphi^{\mathcal{C}}$ is true in U .
 • $A = \{\emptyset, \{\emptyset\}, \{\emptyset, \{\emptyset, \{\emptyset\}\}\}$ does not satisfy ε
- def. A class $\mathcal{C}$ is transitive if $x \in \mathcal{C} \rightarrow x \subseteq \mathcal{C}$
- lemm. If $U \models \text{Ext}$, $\mathcal{C}$ transitive, then $\mathcal{C} \models \text{Ext}$.
- def. φ is a Δ_0 -formula if every quantifier in φ is bounded, i.e., of the form $\forall x \in y$, $\exists x \in y$ where y is a var or param
 • a property is Δ_0 if it can be expressed by a Δ_0 formula.
 • ex. " $x \leq y$ " is Δ_0 : $\forall z \in x (z \in y)$, "function", etc.
- lemm. $\mathcal{C}$ is transitive, φ (Δ_0 fmla) w/o free vars, possibly params from $\mathcal{C}$. Then $\mathcal{C} \models \varphi \Leftrightarrow U \models \varphi$ → " α is limit, successor", " n is natural #", " $x = \omega$ "
- If $U \models ZF$ then " α is an ordinal" is Δ_0 .
 • " x is well ordered" is generally not Δ_0 (better order is Δ_0)
- Verifying axioms. Suppose $U \models ZF^-$, let $\mathcal{C}$ be transitive
 - $\mathcal{C} \models \text{Ext}$ (since $U \models \text{Ext}$)
 - $\mathcal{C} \models \text{Empty} \Leftrightarrow \emptyset \in \mathcal{C}$
 - $\mathcal{C} \models \text{Pair} \Leftrightarrow \forall a, b \in \mathcal{C}, \{a, b\} \in \mathcal{C}$ ← Δ_0 formulas
 - $\mathcal{C} \models \text{Union} \Leftrightarrow \forall x \in \mathcal{C}, \cup x \in \mathcal{C}$ ← " x is power set of y " is not Δ_0
 - $\mathcal{C} \models \text{Pow} \Leftrightarrow \forall x \in \mathcal{C}, \{y \in \mathcal{C} : y \subseteq x\} \in \mathcal{C}$ → is a set (in U) by Comp/Pow
 - If $\omega \in \mathcal{C}$ then $\mathcal{C} \models \text{Inf}$ (← only true if $\mathcal{C} \models \text{Found}$)
 - $\mathcal{C}$ satisfies Comprehension $\Leftrightarrow$ for all $x \in \mathcal{C}$, any formula $\varphi(z)$ w/ one free var z , params from $\mathcal{C}$, that $\{z \in x : \mathcal{C} \models \varphi(z)\} \in \mathcal{C}$
 - $\mathcal{C}$ satisfies Replacement $\Leftrightarrow$ for any formula $\varphi(x, y)$, free vars x, y , params from $\mathcal{C}$ s.t. φ defines a class fn $h \in \mathcal{C}$ (i.e. $\forall x \in \mathcal{C}$, there is at most 1 $y \in \mathcal{C}$ st. $\mathcal{C} \models \varphi(x, y)$) and for every $x \in \mathcal{C}$, we have $\{y \in \mathcal{C} : \exists x \in \mathcal{C} (\mathcal{C} \models \varphi(x, y))\} \in \mathcal{C}$

• AC holds in $\mathcal{C} \Leftrightarrow$ exercise! (same proof)

• Found $\text{lim}_{\alpha \in \mathcal{C}}$ exercise!

• ex: If $\mathcal{U} \models ZF$, and $\mathcal{C}$ is any class, then $\mathcal{C} \models \text{Found}$

• ex: $\mathcal{C} = \text{Ord}$ (transitive)

does $\mathcal{C} \models \text{Pair}$? Yes! (even though subsets of some ordinals are not ordinals)

$\forall \alpha \in \text{Ord}, \beta^{\text{Ord}}(\alpha) = \{\beta \in \text{Ord} : \beta \leq \alpha\} = \alpha + 1 \in \text{Ord}$. Ord $\neq$ Pair!

• ex: $\text{Ord}^{\mathcal{C}} := \{x \in \mathcal{C} : \mathcal{C} \models "x \text{ is ordinal}"\} \Rightarrow$ so formulas

$\text{Card}^{\mathcal{C}} := \{x \in \mathcal{C} : \mathcal{C} \models "x \text{ is a cardinal}"\}$

What are $\text{Ord}^{\mathcal{C}}$ and $\text{Card}^{\mathcal{C}}$?

★ def: an inner model is a transitive class $\mathcal{C}$ st $\text{Ord} \subseteq \mathcal{C}$.

$\hookrightarrow$ ex: $V = \bigcup_{\alpha \in \text{Ord}} V_{\alpha}$ ($V_0 = \emptyset$, $V_{\alpha+1} = P(V_{\alpha})$, $V_{\alpha} = \bigcup_{\beta < \alpha} V_{\beta}$ for α limit)

• thm: If $\mathcal{U} \models ZF^{-}$, then $V \models ZF$.

↳ if ZF^{-} is (omitted), then ZF is (omitted)

pf: $x \in V \Leftrightarrow x \subseteq V$ (!) need to check $V \models ZF^{-}$

now $V \models \text{Found}$? Found (using ZF^{-}) $\Leftrightarrow \forall x \neq \emptyset \exists y \in x (x \cap y = \emptyset)$

Want: $\forall \emptyset \neq x \in V, \exists y \in V (x \cap y = \emptyset)$ (use rank)

• thm: If $\mathcal{U} \models ZFC^{-}$, then $V \models ZFC$

Assume $\mathcal{U} \models ZF$, AC remember: $\kappa \in \text{Card} \wedge$ regular $\Leftrightarrow$ set of card. κ can't be expressed as the union of $< \kappa$ sets of cardinality $< \kappa$

thm: If κ is inaccessible, then $V_{\kappa} \models ZFC \rightarrow$ a set!

↓ lemma: If κ is inaccessible, then $|V_{\kappa}| = \kappa$ and $\forall x, x \in V_{\kappa} \Leftrightarrow x \subseteq V_{\kappa}$ and $|x| < \kappa$.

pf: $\kappa = \text{Ord} \cap V_{\kappa}$ (generally, $\alpha = \text{Ord} \cap V_{\alpha}$) $\Rightarrow |V_{\kappa}| \geq \kappa$

for $(\leq)$: Show $\forall \alpha < \kappa, |V_{\alpha}| < \kappa$ If so, then $V_{\kappa} = \bigcup_{\alpha < \kappa} V_{\alpha} \Rightarrow$

$|V_{\kappa}| \leq \kappa \otimes \kappa = \kappa$

Union of κ many sets each of card $< \kappa$

Induction on $\alpha < \kappa$. use κ inaccessible ($\forall \lambda < \kappa, 2^{\lambda} < \kappa$)

$x \in V_{\alpha} \neq V_{\kappa}$

$(\Rightarrow)$: Let $x \in V_{\kappa}$. by transitivity, $x \subseteq V_{\kappa}$. let $\alpha := \text{rank}(x)$, then

not cf: $\alpha < \kappa, x \in V_{\alpha} \Rightarrow x \subseteq V_{\alpha}$ (transitive) then $|x| \leq |V_{\alpha}| < \kappa$ $\square$ ✓

$\exists \delta < \beta$ st $(\Leftarrow)$: Let $x \subseteq V_{\kappa}, |x| < \kappa$. By regularity, $x \rightarrow \kappa: y \mapsto \text{rank}(y)$ can't

$\forall \delta < \kappa$, be cofinal [κ reg: $\text{cf}(\kappa) = \kappa$, can't have smaller dom cf. fn],

$f(\delta) < \delta$ So $\alpha := \sup(\text{rank}(y), y \in x) < \kappa$. So $x \subseteq V_{\alpha} \Rightarrow x \in V_{\alpha+1} \subseteq V_{\kappa}$ $\square$

- $\mathcal{U}$ -formulas: a set in $\mathcal{U}$ "representing" a formula

Let #s represent $=, \epsilon, \wedge, \neg, \exists$. $(0, \dots, 4)$

$\text{Var} = \{new : n \geq 5\}$ - the variables in $\mathcal{U}$ -formula

$\circ \mathcal{F} = \{(\epsilon, x, y), (\exists, x, y) : x, y \in \text{Var}\}$: atomic $\mathcal{U}$ -fls $(x=y, x \in y)$

$n+1 \mathcal{F} = n\mathcal{F} \cup \{(\wedge, f, g), (\neg, f), (\exists, x, f) : f, g \in n\mathcal{F}, x \in \text{Var}\}$

$\uparrow new, \mathcal{F} = \bigcup_{new} n\mathcal{F}$ - set of $\mathcal{U}$ -fls

for a fln φ , use $\ulcorner \varphi \urcorner$ to denote corresponding $\mathcal{U}$ -fln

* $\mathcal{U}$ -flns are finite sets, $\mathcal{F} \subseteq V_\omega$, so $\mathcal{F}$ is countable (V_ω is)

- $\mathcal{F}_c$ = class of $\mathcal{U}$ -flns w/ params from $\mathcal{C}$

- def: for $F \in \mathcal{F}$, let complexity: $\text{comp}(F) = \text{least } n < \omega \text{ s.t. } F \in \mathcal{F}_n$

AC, W inf: $\hookrightarrow$ induction on complexity

$\mathcal{F}_W^k$: set of all $\mathcal{U}$ -flns w/ params from W , exactly k free vrs

- lemma: truth is not definable (no thm Φ_n such s.t. Φ_n is true (false) iff $(0, 1, 3)$).

- thm: $\uparrow$ necessary to a set W , truth Φ_n exists

- def: for W set, $A \subseteq W$ is definable i.f. $\exists f \in \mathcal{F}_W^1$ s.t. $A = \{a \in W : W \models f(a)\}$

thm "f defines A in W", the definable power is $\mathcal{D}(W) := \{A \subseteq W : A \text{ definable}\}$

$\exists$ ex of definable subsets:

$\hookrightarrow W$ itself: $W = \{a \in W : W \models a = a\}$

$\hookrightarrow \emptyset = \{a \in W : W \models \neg(a = a)\}$

$\hookrightarrow$ one elt subsets: $\{b\} = \{a \in W : W \models (b = a)\}$

$\hookrightarrow$ finite subsets: $\{b_1, b_2\} = \{a \in W : W \models (a = b_1) \vee (a = b_2)\} \dots$

- lemma (a) If W is infinite, $|\mathcal{D}(W)| = |W|$, so $\mathcal{D}(W) \neq \mathcal{P}(W)$.

pf: $|W| \leq |\mathcal{D}(W)|$ by $x \mapsto \{x\}$ (it's definable).

$|\mathcal{D}(W)| \leq |\mathcal{F}_W^1| \leq |\mathcal{F}(W)|$ by surjective $\mathcal{F}_W^1 \rightarrow \mathcal{D}(W)$:

$f \mapsto \{a \in W : W \models f(a)\}$. And $|\mathcal{F}_W^1| = |W|$. D

- lemma: If $X \subseteq Y$, $X \in Y$, then $\mathcal{D}(X) \subseteq \mathcal{D}(Y)$ ($X \in Y$ is necessary!)

goal: $U \models ZF \Rightarrow L \models ZFC + GCH$

(if ZF consistent, ZFC+GCH is consistent)

• $L_\alpha = \begin{cases} \emptyset & \alpha=0 \\ P(L_\beta) & \alpha=\beta+1 \\ \bigcup \{L_\gamma : \beta < \gamma\} & \alpha \text{ limit} \end{cases}$ then $L = \bigcup \{L_\alpha : \alpha \in \text{Ord}\}$ is Gödel constructible universe.

• def: x is constructible if $x \in L$

$\text{ord}(x) = \min \{ \alpha \in \text{Ord} : x \in L_\alpha \}$

• Lemm: $\alpha \in \text{Ord}$. $\forall \gamma < \alpha$, $L_\gamma \subseteq L_\alpha$ and L_α is transitive, so L is transitive.

• ex: $\alpha \in \text{Ord}$: $L_\alpha = \bigcup_{\beta < \alpha} P(L_\beta)$. $\text{ord}(x)$ is successor ordinal.

$y \in x \Rightarrow \text{ord}(y) < \text{ord}(x)$ (construct x, y). $L_\alpha \subseteq V_\alpha \forall \alpha$, so $L \subseteq V$.

for finite n , $D(n) = P(n)$, so $L_n = V_n \forall n < \omega$, and also $L_\omega = V_\omega$.

But $L_{\omega+1} \neq V_{\omega+1}$, as $L_{\omega+1}$ is c.b.t. but $V_{\omega+1} = P(V_\omega)$ isn't.

• Lemm: $\alpha \in \text{Ord}$ is constructible w/ $\text{ord}(\alpha) = \alpha + 1$. [$\text{Ord} \cap L_\alpha = \alpha$]

• Cor: L is an inner model (transitive and $\text{Ord} \subseteq L$)

• thm: If $U \models ZF$ then $L \models ZFC + GCH$. Hence, if ZF is consistent, ZFC+GCH is also.

↳ if every model of A has an inner model satisfying B , then (if A consistent, then B consistent)

• def: a f.o. w/o params is arithmetical if all quantifiers are bounded by V_n

• Cor: If an arithmetical stat is provable in ZFC+GCH, then it can be proved in ZF.

• Lemm: If $U \models ZF$, then $L \models ZF$

• def: $C = \bigcup \{C_\alpha : \alpha \in \text{Ord}\}$ is a stratified class if $\text{Ord} \rightarrow U : \alpha \rightarrow C_\alpha$ is the f.o. st.
1) $\alpha \leq \beta \Rightarrow C_\alpha \subseteq C_\beta$ 2) $\alpha \text{ limit} \Rightarrow C_\alpha = \bigcup \{C_\gamma : \gamma \leq \alpha\}$

ex: $V = \bigcup \{V_\alpha : \alpha \in \text{Ord}\}$, $L = \bigcup \{L_\alpha : \alpha \in \text{Ord}\}$

• def: $\mathcal{D} \subseteq C$ classes, $\varphi(x_1, \dots, x_n)$ formula w/ free vars, no params.

φ is absolute betw $\mathcal{D}, C$ if $\forall a_1, \dots, a_n \in \mathcal{D}$, $\mathcal{D} \models \varphi(a_1, \dots, a_n) \Leftrightarrow C \models \varphi(a_1, \dots, a_n)$

• thm: (Reflection): let $C = \bigcup \{C_\alpha : \alpha \in \text{Ord}\}$ be a stratified class, let $\varphi_1, \dots, \varphi_n$

be a list of formula w/o params. Then $\exists \beta \in \text{Ord}$ st all φ_i are absolute b/w C_β, C .

• Cor: $\forall \delta \in \text{Ord}$, $\exists \beta \geq \delta$ st $\varphi_1, \dots, \varphi_n$ are absolute b/w C_β and C .

↳ Anything true in stratified class will hold in some initial segment

• Axiom of Constructibility: $U = L$ (every set is constructible)

• thm: $L \models \text{Axiom of Constructibility}$ $\exists \forall y \in \dots$

• def: Σ_1 formula if form of (existence & bounded universal quantifiers) + Δ_0 -formula

Π_1 formula if form of (universal & bounded existence quantifiers) + Δ_0 -formula

negation of Σ_1 is Π_1

$\forall$

$\exists x \in \dots$

properties of Π_1 formulas is equiv to some Π_1

- Σ_1 -class: class defined by Σ_1 -formula w/o params
- Σ_1 -class fn: same (no params, 2 free vars)
- Lemma: let $\mathcal{C}$ be a Σ_1 -class fn s.t. $\text{dom}(\mathcal{C})$ is Π_1 .
Then $\mathcal{C}$ is Π_1 as well.

$\mathcal{C}$: $\mathcal{C}(x) = y$ is Σ_1 formula (w/o params) by assumption
 $\mathcal{C}(x) = y \Leftrightarrow \underbrace{x \in \text{dom}(\mathcal{C})}_{\Pi_1} \wedge \underbrace{\forall y' (y' = y \vee \neg \mathcal{C}(x) = y')}_{\text{negation of } \Sigma_1 \text{ is } \Pi_1}$ $\square$

- def: a set A is Σ_1 -identifiable if $\{A\}$ is Σ_1 ,
 $\hookrightarrow$ there is a Σ_1 formula $\mathcal{C}(x)$, no params, 1 f.v. s.t. $\mathcal{C}(x) \Leftrightarrow x = A$
- ex : ω is Σ_1 -identifiable (" $x = \omega$ " is Δ_0 , so it's Σ_1)
- If A is Σ_1 -identifiable, quantifiers ranging over A can be written in Σ_1 formulas
 $\forall x \in A (\dots) \Leftrightarrow \exists y (\underbrace{y = A}_{\Sigma_1} \wedge \forall x \in y (\dots))$

- $\mathcal{C}$ is Σ_1 -class fn [$y = \mathcal{C}(x)$ is Σ_1] then $z \in \mathcal{C}(x)$ is
 equiv to Σ_1 formula [$\exists y (y = \mathcal{C}(x) \wedge z \in y)$]

- thm (Σ_1 -recursion): let $\mathcal{E}$ be Σ_1 -class fn s.t. every Σ_1 -inductive
 function f is in $\text{dom}(\mathcal{E})$. Then the unique $\mathcal{E}$ -th class fn
 $F: \text{Ord} \rightarrow \mathcal{U}$ is Σ_1 .

- $x \mapsto \mathcal{C}(x)$ is Π_1 (write it out, include minimal transitive set)
 it's also Σ_1 (recursion)

- Lemma: $\text{Ord} \rightarrow \mathcal{U}: \alpha \mapsto L_\alpha$ is Σ_1 .

F is Σ_1 , but it's also Σ_1 identifiable

- thm: L satisfies Constructibility Axiom ($L \models$ "every set is constructible", different in L)

Σ_1 true in small class $\Rightarrow$ holds in big class

- ex: $\mathcal{C}$ is an inner model s.t. $\mathcal{C} \models ZF$, then $L \subseteq \mathcal{C}$ (L is "natural" FZ)

- (Weak) Consequence Lemma: let S transitive set $S \models ZF +$ "all sets constructible", then $S = L^S$ from

[next: want $L \models AC$ (actually, $L \models GC$)]

big idm: $\mathcal{U}$ -f'ns are well orderable, L is sets defined by $\mathcal{U}$ -f'ns

- (ZF)⁺ Lemma: there is a class fn that given a set W , well ordering $<$ on W ,
 outputs a well ordering $<^*$ on $\mathcal{P}(W)$

magic

order

integer
words

magical

- $GC \Leftrightarrow$ bipartite $\text{ord} \rightarrow \mathcal{U} \Leftrightarrow \exists$ well ordering on $\mathcal{U}$
- thm: If $\mathcal{U} = L$, then GC holds
 ↳ we can take $\mathcal{U} = L$ b/c $\mathcal{U} = ZF \Rightarrow L \models ZF + A.c.f.$ (constructibility)
- thm: If $\mathcal{U} = L$ then $GC \cap$ holds $[L \models GC \cap]$
 ↳ $GC \cap$: $2^k = k^+$ $\forall$ infinite cardinals k [AC holds by proof thm]
- def: a b sets. b is Σ_1 -identifiable over a if $\exists \varphi, \tau, f \Vdash a \models \varphi(x, y)$
 2 free vars, no params st. $x = b \Leftrightarrow \varphi(x, a)$ i.e. $\{b\} = \{x : \varphi(x, a)\}$
 $\mathcal{Q}$: how large can $|b|$ be if b is Σ_1 -id. over a ?
 $X = \omega$ is Δ_0 so ω is Σ_1 -id. over any set (so at least countably inf)
 $X = a$ is Δ_0 (so at least cardinality of a)
 $a = \{b\}$ is Σ_1 -identifiable: $x = b \Leftrightarrow x \in a$
 $\rightarrow \text{tcl}(a)$ is Σ_1 -id. over a
- Gödel's Magic Lemma (ZFC): If b is Σ_1 -id. over a then
 $|b| \leq \max\{\aleph_0, |\text{tcl}(a)|\}$
- work: $|L_\alpha| = |\alpha| \forall \alpha \in \text{Ord}$: $\alpha \subseteq L_\alpha \Rightarrow |\alpha| \leq |L_\alpha|$
- thm: $\forall \alpha \in L$, $|\text{ord}(a)| \leq \max\{\aleph_0, |\text{tcl}(a)|\}$
- ex: If $V = L$, then $\forall \alpha \in \text{Ord}$, $V_{\alpha+1} \subseteq L_{\alpha+1}$
- ex: $V = L$: $\kappa = \aleph_\kappa \Rightarrow V_\kappa = L_\kappa$
- Löwenheim-Skolem Thm (ZFC): Let $A \subseteq B$ sets. Then $\exists A^*$ st. $A \subseteq A^* \subseteq B$,
 $|A^*| \leq \max\{\aleph_0, |A|\}$ and $\forall f \in F_{A^*}$, $A^* \models f \Leftrightarrow B \models f$
 ↳ A^* looks indistinguishable to B by $\mathcal{L}$ -sentences but $|A|$ is bounded.
 ↳ Let κ be inaccessible cardinal. Then $V_\kappa \models ZFC$. $\mathcal{L}$ -S w/ $A = \emptyset, B = V_\kappa$
 $\Rightarrow A^* \subseteq V_\kappa$, $|A^*| \leq \aleph_0$ (A^* countable), for all $f \in F_{A^*}$, $A^* \models f \Leftrightarrow V_\kappa \models f$
 there is a countable model of ZFC
 • $A^* \models \exists$ uncountable sets but this is just saying A^* thinks the set is uncountable
 i.e. $\exists s \in A^*$ st. $A \models \neg \exists f (f \text{ injects } s \rightarrow \omega)$ (if just doesn't exist in A^*)
 • $A^* \models \exists S (S = \omega)$ (no, no param), take S countable subset.
 $A^* \models S = \omega$, S is param $\Leftrightarrow V_\kappa \models S = \omega \Rightarrow S = \omega$, so $\omega \in A^*$
 $\Rightarrow \omega \in A^*$, $V_\omega, V_{\omega+\omega}, V_{\aleph_1}, \dots \in A^*$ (A^* is not transitive b/c
 uncountable $\leftarrow V_\kappa \notin A^*$)

- Thm: $\mathcal{C}$ be a class, $\tau \in \text{Ext}$. Then $\exists$ unique class $j: \mathcal{C} \rightarrow \mathcal{U}$ s.t. (m1): j is injective (m2): $\text{ran}(j)$ is transitive (m3): $\forall x, y \in \tau, (y \in x \Leftrightarrow j(y) \in j(x))$. j is Mostowski collapse of $\mathcal{C}$.
 Pf: elts of $j(x)$ are in range of j by transitivity.
 $j(x) = \{j(y) : y \in x, y \in \mathcal{C}\}$, recursive def on $\text{rank}(x)$ $\square$
 $\mathcal{C} \in \text{Ext}$ used to show injectivity (m1)

• $A = \{\emptyset, \{\emptyset\}, \{\emptyset, \{\emptyset, \emptyset\}\}$

$\nwarrow \neq \text{Ext}$, both contain $\emptyset$ but not equal

- $j(\emptyset) = \emptyset, j(\{\emptyset\}) = \{j(\emptyset)\} = \{\emptyset\}, j(\{\emptyset, \{\emptyset, \emptyset\}\}) = \{j(\emptyset)\} = \{\emptyset\}$ Not injective.
- Ex: $E = \{\text{newwiner}\}$, $E \in \text{Ext}$. Mostowski collapse: $j(u) = u/2$
- Ex: $\mathcal{C} \subseteq \text{Ord}$, proper class. Mostowski collapse of $\mathcal{C}$ is the (unique) order preserving bijection $\mathcal{C} \rightarrow \text{Ord}$

- ex: If $\mathcal{C}$ transitive, M.C. is identity.

- ex: If $\mathcal{C} \in \text{Ext}$, $A \subseteq \mathcal{C}$ transitive subset, then $j \upharpoonright A$ is identity, $j \upharpoonright A = \text{id}_A$ (b/c $A \in \mathcal{C}$)

- $j: \mathcal{C} \rightarrow \mathcal{C}'$ M.C., $\mathcal{C}' = \text{ran}(j)$, then j is isomorphism $(\mathcal{C}, \in) \rightarrow (\mathcal{C}', \in)$

If φ is a sentence w/ params $a_0, \dots, a_n \in \mathcal{C}$, then

$$\mathcal{C} \models \varphi(a_0, \dots, a_n) \Leftrightarrow \mathcal{C}' \models \varphi(j(a_0), \dots, j(a_n))$$

If $\mathcal{C}$ is a set, holds $\nearrow$ for all $\mathcal{U}$ -fnc

- Cor: $A \subseteq B$ sets, A transitive, $B \in \text{Ext}$. Then $\exists$ transitive set $A' \supseteq A$, s.t. $|A'| \leq \max\{\aleph_1, |A|\}$, and $\forall f \in F_A^0, A' \models f \Leftrightarrow B \models f$

(not claiming $A' \subseteq B$, so only use params from A)

Pf: L-S: A^* , $A \subseteq A^* \subseteq B$, $|A^*| \leq \max\{\aleph_1, |A|\}$, $\forall f \in F_A^0$

$A^* \models f \Leftrightarrow B \models f$, $B \in \text{Ext} \Rightarrow A \in \text{Ext}$. Take M. collapse, $j: A^* \rightarrow \mathcal{U}$.

take $A' = \text{ran}(j)$. A' transitive $\checkmark$, $A' \supseteq A$ b/c A transitive

so j is identity on A , if $f \in F_A^0$, $A' \models f \Leftrightarrow A^* \models f \Leftrightarrow B \models f$. $\square$

(j is id on A)

$\Rightarrow$ If $\exists$ inaccessible κ card, then $\exists$ transitive countable set satisfying ZFC

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p

Descriptive Set Theory

- Thm (Cantor): If $C \subseteq \mathbb{R}^n$ is closed, then $|C| \leq \aleph_0$ or $|C| = 2^{\aleph_0}$
- def: $P \subseteq \mathbb{R}^n$ is perfect if it is closed and has no isolated pts.
- Thm (Cantor): If P is nonempty, perfect, then $|P| = 2^{\aleph_0}$
- Thm (Cantor-Bendixson): $C \subseteq \mathbb{R}^n$ closed $\Rightarrow C = U \cup P$, $U \cap P = \emptyset$, U can be perfect
- pf: C closed, $C = U \cup P$, if $P = \emptyset$, then $C = U$ is cld. If $P \neq \emptyset$, $|C| \geq |P| = 2^{\aleph_0}$. $\square$
- $A \subseteq \mathbb{R}^n$ has "perfect set property" (PSP) if A is cld or A has nonempty perf. set.
↳ closed sets have PSP
- $\exists A \subseteq \mathbb{R}$ s.t. A, A^c both don't have PSP

"Everything" (7 words)

E L F R O C

T C E F E S

Y I A T I L

O R L N T A

N O N H N I

Y A E K D C

T R E R H O

P M O E C I